



VASP Licensing and Waiver Checklist

The Authority will begin processing a Virtual Asset Service Provider (VASP) licensing application **only upon receipt of all required documents** as outlined in the Virtual Asset (Service Providers) (Amendment) Regulations, 2025.

This checklist below applies only to new Applicants (who do not currently hold a VASP Registration). It provides a comprehensive list of the required documents. Should a requested document be included as a section of another document, please indicate where it can be found.

- Applicants for VASP **licensing must provide a response for all items** listed and provide all documents as relevant in the application package.
- Applicants for a VASP **waiver must provide a response for the relevant items listed in only Part A, Part D and Part E** and any other information to support their application, as necessary.
- Documents should be numbered in accordance with the checklist's numbering system (**Item #**) as far as possible e.g.#6. Business Plan.

The Authority will not initiate the application review until all documents have been submitted and verified. Please note that incomplete submissions will delay the review process.

PART A: APPLICANT'S GENERAL INFORMATION

Item #	Document list	Has this been included in your submission? (Yes/No/N.A.)
1	Confirmation of payment of CI \$5,000 Application fee for licensing only (not applicable to waiver applications).	
2	Certificates of Incorporation - Certificates for the Applicant , and if applicable, the Parent and Subsidiaries of the Applicant.	
3	Registered Office Agreement (<i>Not applicable to waiver applications</i>)	
4	Notarized/ Certified copy of Register of Members for the Applicant , and if applicable, the Parent and Subsidiaries of the Applicant. <i>(Not applicable to waiver applications unless there is a change from previous documents provided to the Authority)</i>	
5	Notarized/ Certified copy of Register of Directors for the Applicant , and if applicable, the Parent and Subsidiaries of the Applicant. <i>(Not applicable to waiver applications unless there is a change from previous documents provided to the Authority)</i>	
6	Business Plan: A comprehensive business plan inclusive at minimum of the following: <ol style="list-style-type: none"> i. A detailed description of the virtual asset-related business being undertaken or to be conducted Virtual Asset (Service Providers) Act (2024 Revision) ii. Products and services being offered or to be offered in the future and details on the process of how those services are being offered. iii. Proposed date to commence carrying out the provision of virtual asset services in, or from within, the Cayman Islands iv. Physical location of operations. v. Delivery channels and marketing plan/strategy 	



VASP Licensing and Waiver Checklist

7	<p>Transaction Flow Chart - visual illustration of the processes, in sequence, involved in a transaction, highlighting key interactions, decision points, and data flows to ensure clarity on the virtual asset business.</p> <p><i>*This requirement can be provided as a section of the Business Plan</i></p>	
8	<p>Customer Base Statement* detailing the Applicant's:</p> <ul style="list-style-type: none"> i. Expected client demographics and jurisdictions ii. Assessment of target customer base (for example retail, institutional, high-risk jurisdictions). <p><i>*This requirement can be provided as a section of the Business Plan</i></p>	
9	<p>Ownership structure – An organizational chart of the company ownership structure including the names, registration/license number and addresses of all subsidiary companies of the applicant.</p> <ul style="list-style-type: none"> - <i>Where any of the entities within the company ownership structure (including the ultimate parent entity) are regulated, specify the relevant regulator.</i> 	
10	<p>Regulated Status of Parent and Affiliated entities – outline the regulatory status of the Applicant's parent and affiliated entities, including any licenses, registrations, and compliance with relevant regulatory requirements for each entity.</p>	
11	<p>Management Structure - Organizational Structure Chart & Key Personnel Details*:</p> <ul style="list-style-type: none"> i. Names, roles, and experience of key personnel e.g. composition of board of directors, senior management. ii. Organizational chart showing reporting lines and governance structure. <p><i>*This requirement can be provided as a section of the Business Plan</i></p>	
12	<p>Financial Position*</p> <ul style="list-style-type: none"> i. Detailed proposal setting out the applicant's assets and liabilities at the end of each year for the two years following the submission of this application ii. Estimate of the applicant's projected income at the end of each year for the two years following the submission of this application iii. Current financials: copy of Applicant's most recent balance sheet and details of fee earnings, if any <p><i>*This requirement can be provided as a section of the Business Plan</i></p>	
13	<p>Annual Accounts</p> <p>For each shareholder, that is a body corporate holding more than ten percent (10%) of the applicant's issued shareholding or total voting rights, please provide:</p> <ul style="list-style-type: none"> - Annual accounts for the two years immediately preceding the application - Similar accounts for the parent body, if any, of each such of the body corporate <p><i>(Not applicable to waiver applications)</i></p>	



VASP Licensing and Waiver Checklist

14	<p>Capital: Information on and evidence of, the fully paid-up capital. <i>(Not applicable to waiver applications)</i></p>	
15	<p>Capital Allocation Statement - A statement as to how much of the capital of each subsidiary company constitutes an asset of the applicant (if applicable). <i>(Not applicable to waiver applications)</i></p>	
16	<p>Regulatory Capital Computation - calculation of the applicant's capital adequacy, ensuring compliance with section 8 of the Rule for Virtual Asset Custodians and Trading Platforms and any other relevant regulatory measures. <i>(Not applicable to waiver applications)</i></p>	
17	<p>Regulatory Capital Stress Testing – outlines how the applicant intends to perform stress testing of its capital adequacy under various adverse scenarios to demonstrate compliance with section 8.8 of the Rule for Virtual Asset Custodians and Trading Platforms and its ability to withstand financial shocks. <i>(Not applicable to waiver applications)</i></p>	
18	<p>Financial Statements Auditor Appointment Letter - The name and address of the firm of auditors practicing in the Islands and approved by the Authority that is appointed to audit the accounts of the applicant with a statement that notes:</p> <ul style="list-style-type: none"> - the date for drawing up the annual accounts of the applicant, and; - evidence that the auditor has agreed to accept the appointment such as an Auditor Consent letter. <p><i>(Not applicable to waiver applications)</i></p>	
19	<p>Exemption Request - (If Required) Request for an exemption from the requirements of the <i>Virtual Asset (Service Providers) Act (2024 Revision)</i>, these Regulations or any other regulatory law made by the applicant.</p>	
20	<p>Other Regulated Activity - A statement indicating whether the applicant intends to provide any other services which are regulated in the Cayman Islands.</p>	
21	<p>Legal Opinion - Provide a legal opinion on how the applicant meets the requirement for registration or license under the VASP Act. The legal opinion may also consider and opine, as appropriate, whether the applicant's proposed activities would require license or registration under any other regulatory law(s).</p>	
22	<p>Waiver Supporting Evidence** – If applying for a waiver from the requirements of the <i>Virtual Asset (Service Providers) Act (2024 Revision)</i>, provide a detailed explanation of the basis / rational for the waiver request including whether the virtual asset service materially changes the nature of the activity for which the existing Supervised person is regulated, all information on any existing registrations / licenses already held with the Authority and any other details as relevant. <i>**This can be included within the Legal Opinion</i></p>	



VASP Licensing and Waiver Checklist

23	<p>Entity Penalties / Enforcement actions - records of any regulatory penalties or enforcement actions imposed on the applicant, detailing the nature of the violation, corrective measures taken, and compliance with regulatory requirements.</p>	
24	<p>Governance Policy and Procedures – Applicant’s framework for governance, including roles, responsibilities, decision-making processes, and oversight mechanisms to ensure sound management and regulatory compliance. <i>(Not applicable to waiver applications)</i></p>	
25	<p>Enterprise-Wide Risk Management Policy - framework for identifying, assessing, managing, and mitigating risks across the entire organization to ensure the Applicant operates within acceptable risk levels. Include identification of risks associated with VA services the Applicant intends to offer. <i>(Not applicable to waiver applications)</i></p>	
26	<p>Compliance Program and Reporting Framework (AML/CFT/CFP/Sanctions Policy) - The Applicant’s strategy to carry out due diligence, money laundering, terrorism or proliferation financing risk mitigation processes to ensure compliance with the Virtual Asset (Service Providers) Act (2024 Revision) and the Proceeds of Crime Act (2024 Revision).</p> <p>Policy must at minimum cover the following areas-</p> <ul style="list-style-type: none"> i. AML/CFT/CPF/Sanctions Training ii. Customer Risk Assessment iii. Enterprise-Wide Risk Assessment iv. Client Due Diligence v. Eligible Introducers vi. Ongoing Monitoring vii. Sanctions Screening viii. SAR and FRA Reporting ix. Politically Exposed Persons (PEP) x. Transaction Monitoring xi. Employee Screening xii. Record Keeping xiii. Internal Audit xiv. Outsourcing <p><i>(Not applicable to waiver applications)</i></p>	
27	<p>Travel rule policy* - outlines the Applicant’s adherence to the Travel Rule requirements as applicable and in compliance with Part 10A of the Anti-Money Money Laundering Regulations (2025 Revision)</p> <p><i>*** This requirement can be included as a section of the AML/CFT/Compliance Policy.</i></p>	



VASP Licensing and Waiver Checklist

<p>28</p>	<p>Cybersecurity and technology – Provide an outline of strategy and measures in place for cybersecurity, risk management, the safeguard of virtual assets and internal controls within the business to prevent loss and theft. This should include:</p> <ul style="list-style-type: none"> ○ IT Governance and Risk Management ○ Cybersecurity Framework ○ Safeguarding of virtual assets ○ Acceptable Use ○ Data Protection ○ Physical and logical access ○ Network Protection ○ Encryption and Cryptography ○ Software Development Lifecycle ○ Change Management ○ Incident Response ○ Data Collection, Retention, and Disposal ○ Training and Awareness <p><i>(Not applicable to waiver applications)</i></p>	
<p>29</p>	<p>Crypto Wallet Management Policy which includes:</p> <ul style="list-style-type: none"> ○ Purpose and Scope ○ Roles & responsibilities ○ Wallet types & management ○ Transaction Security ○ Compliance and Regulatory Considerations ○ Incident Response ○ User Access Permissions ○ Training and Awareness ○ Wallet Auditing and Monitoring ○ Periodic Review and Updates ○ Policy enforcement and Penalties 	
<p>30</p>	<p>Private Key Management Policy – Provide the framework that defines the processes and controls for managing private keys used in cryptographic operations, ensuring their security, integrity, and availability while preventing unauthorized access or data breaches. This includes the private key lifecycle components such as generation, use, storage, backup, rotation, disposal etc.</p> <p><i>Requirements can be included in the Crypto Wallet Management Policy.</i></p>	



VASP Licensing and Waiver Checklist

31	<p>Outsourcing Policy - outlines the principles, criteria, procedures and standards for outsourcing. <i>(Not applicable to waiver applications)</i></p>	
32	<p>Outsourcing Log – the log should list all third-party outsourcing arrangements, as well as details on vendors and services provided.</p> <p><i>(Not applicable to waiver applications)</i></p>	
33	<p>Outsourcing Agreements - Copies of:</p> <ul style="list-style-type: none"> • executed service agreements for all material intergroup or affiliate services and • executed agreements with material externally outsourced services (as relevant). <p><i>(Not applicable to waiver applications)</i></p>	
34	<p>Business Continuity - strategies and procedures in place to ensure that critical business operations can continue in the event of disruptions, protecting the Applicant’s operations, assets, and stakeholders.</p> <p><i>(Not applicable to waiver applications)</i></p>	
35	<p>Complaints Handling Policy – outline of the process for receiving, investigating, and resolving client or stakeholder complaints, ensuring that issues are addressed in a fair and timely manner while maintaining customer satisfaction.</p> <p><i>(Not applicable to waiver applications)</i></p>	
36	<p>Conflict of Interest Policy – outlines the applicant’s measures to identify and prevent conflict of interest by management, staff and other stakeholders. This also includes insider trading or price manipulation.</p> <p><i>(Not applicable to waiver applications)</i></p>	
37	<p>Recovery Plan – outline of the steps the institution will take to recover from a significant operational disruption, detailing recovery timelines, strategies, and roles to restore business operations efficiently. <i>(Not applicable to waiver applications)</i></p>	



VASP Licensing and Waiver Checklist

Mandatory documents continued - where applicable to the Applicant

Item #	Document list	Has this been included in your submission? (Yes/No/N.A.)
38	Notarized/Certified English Translations: Provide notarized or certified English translations for any of the above documents where the original is not in English.	
39	Proof of Registration/Licensing: Provide proof of registration, licensing, or application to be registered/licensed to conduct VASP and or Financial Service Provider (the "FSP") activities in another jurisdiction for the applicant, parents, and/or subsidiaries. If licensed and registered, include: <ul style="list-style-type: none"> i. Document of good standing ii. Confirmation of no adverse issues with the Applicant or any licensed or registered parent and/or subsidiaries 	
40	Proof of Registration under Other Acts/Regulations: Provide proof of registration, licensing, or application under other Acts/Regulations under the Cayman Islands Monetary Authority.	
41	Change of Name Certificates: Provide any change of name certificates for applicants, parents and subsidiaries.	
42	Trust Deed, if applicable	
43	Proof of Controlling Interest: Provide proof of controlling interest held in other VASP entities.	
44	Proof of Penalties/Actions: Submit proof of any penalties or actions against the Applicant, its parents, and/or subsidiaries.	

Mandatory documents continued - for assessment of Fitness and Propriety

(Not applicable to waiver applications)

Item #	Document list	Has this been included in your submission? (Yes/No/N.A.)
	For Shareholders, Directors and Senior Officers (the "individual") Not Known to the Authority:	
45	Personal Questionnaire (see link in the Appendix in Part F): along with documents, i.e. a final decision report, if any probity questions in section 7A of the PQ are answered "Yes".	
46	Police Clearance Certificate/Affidavit of No Conviction: Dated within six (6) months of application date.	
47	Character References: two (2) character references with at least a three (3) year relationship.	
48	Financial Reference: Financial reference must attest to the management of the individual's account. With a minimum business relationship of two (2) years.	
49	Curriculum Vitae: Chronological CV detailing full employment tenure.	
50	Notarized/Certified ID: Notarized or certified color copy of national identification.	



VASP Licensing and Waiver Checklist

51	Notarized/Certified Qualifications: Notarized or certified copies of educational and professional qualifications as listed on the CV.	
52	Source of Wealth Form (see link in Appendix 1): For individuals holding more than 10% shareholding.	
53	Job Description: For individuals involved in day-to-day management of a FSP, provide a signed and dated job description and organizational chart showing reporting lines.	
	For Shareholders, Directors and Senior Officers Previously Approved by the Authority:	
54	An updated and signed Personal Questionnaire, reflecting the name of the current entity and proposed position.	
55	Affidavit of No Material Changes: Affidavit attesting to no material changes in the personal questionnaire.	
56	Police Clearance Certificate/Affidavit of No Conviction: Dated within six (6) months of application date.	

PART B: INFORMATION ON VIRTUAL ASSET CUSTODIAN

This Part is to be completed by all applicants who wish to offer **virtual asset custody services** in or from within the Islands.

Item #	Document list	Has this been included in your submission? (Yes/No/N.A.)
57	List of Virtual Assets Offered – indicate types and amounts of each virtual asset that the applicant intends to hold on behalf of its clients and reasons for facilitating the safekeeping of these virtual assets.	
58	<p>Client Disclosure and Terms of Service - Provide a copy of any agreement, contract or disclosure which will be used during the provision of virtual asset custodial services.</p> <p>These documents should outline all information that will be provided to the owners of the virtual assets including, but not limited to:</p> <ul style="list-style-type: none"> o fees, o compensation structures, o recourse available to the owners of the assets in event of loss, and o methods by which the owners may access their virtual assets. 	
59	<p>Insurance Policy Document - Provide the details of any insurance arrangements held by the applicant in the Cayman Islands or in another jurisdiction which relates to the provision of the virtual asset custody services.</p> <p>Additionally, please outline the insurance coverage in place to protect client assets against risks such as theft, fraud, or loss while under the institution's custody, ensuring financial protection for clients, providing copies of policy documents where applicable.</p>	



VASP Licensing and Waiver Checklist

<p>60</p>	<p>Proof of Professional Knowledge and Experience in custody services: Evidence to support the availability to the applicant, at all times adequate professional knowledge and experience, this includes but is not limited to</p> <p>CVs/Resumes of Key Personnel:</p> <ul style="list-style-type: none"> a) Individuals with adequate professional knowledge and experience operating a virtual asset custodial service. These should include their professional qualifications, relevant experience in the provision of virtual asset custodial services, and any certifications or training that demonstrate relevant expertise. b) At least two directors actively engaged in the business of the applicant, one of whom shall possess a sound professional knowledge of and experience in, provision of virtual asset custody services. <p>Provide notarized proof of educational certificates/documents.</p>	
<p>62</p>	<p>Letter of Intent to Provide Services to Persons in the Islands - Statement indicating whether the applicant intends to provide virtual asset custodial services to persons in the Islands.</p>	
<p>63</p>	<p>Custody of Client Assets Policy - procedures and safeguards in place for the safekeeping and protection of client assets, ensuring compliance with relevant regulations and mitigating risks associated with asset custody.</p>	
<p>64</p>	<p>Custody Services User Agreement - define the terms and conditions under which custody services are provided to clients, detailing the rights and obligations of both parties, as well as the procedures for handling and safeguarding client assets.</p>	
<p>65</p>	<p>Concentration Risk Policy – provide details on the strategy and procedure of the Applicant for the identification and mitigation risks arising from overexposure to a single counterparty, sector, or virtual asset, ensuring that the institution maintains a diversified risk profile.</p>	
<p>66</p>	<p>Reconciliation Policy – provide the framework for regularly verifying and reconciling financial records and transactions, ensuring accuracy and identifying discrepancies to maintain operational integrity.</p>	
<p>67</p>	<p>Custody Services Outsourcing Agreement – Provide information about contracts with affiliates or any other third parties regarding any services related to the provision of virtual asset custody services which will be outsourced or provided by a third party.</p>	



VASP Licensing and Waiver Checklist

PART C: VIRTUAL ASSET TRADING PLATFORM OPERATORS

This Part is to be completed by all applicants who wish to operate a **virtual asset trading platform** in or from within the Islands.

Item #	Document list	Has this been included in your submission? (Yes/No/N.A.)
68.	Location of Physical Hardware - Indicate where physical hardware supporting the trading platform will be located.	
69.	Names of Virtual Assets (Trading Platform) - Provide a list of virtual assets which will be listed, traded or exchanged by the virtual asset trading platform.	
70.	<p>Client Disclosure and Terms of Service – Trading Platform - Provide a copy of the standard client agreements, contracts or disclosures which will be provided to the users of the virtual asset trading platform.</p> <p>Ensure that the document provided outlines all information that will be provided to the owners of the virtual assets including, but not limited to:</p> <ul style="list-style-type: none"> - fees - compensation structures - recourse available to the owners of the assets in the event of loss and - the methods by which the owners may access their virtual assets. 	
71.	Client Agreements / Terms and Conditions - Provide client agreements and terms by which each specific virtual asset will be listed, traded or exchanged on the virtual asset trading platform.	
72.	Additional client agreements / Terms and conditions - If the applicant will be providing lending, financing or leveraging of any kind to its clients, provide the proposed terms of these arrangements including any risk management disclosures and measures.	
73.	Virtual Asset listing due diligence - Provide a statement outlining the due diligence process which will be undertaken by the applicant when listing, trading or exchanging a new virtual asset.	
74.	<p>Securities Listing - If the applicant will be facilitating the listing, trading or exchange of virtual asset securities, provide:</p> <ul style="list-style-type: none"> - a description of the types of securities, - disclosures to be provided to clients relating to these securities, and - arrangements for and terms under which the securities will be listed on the trading platform. <p>Include any additional detail, as necessary on ensuring compliance with regulatory standards and risk management.</p>	
75.	Business Activity Statement - Provide a statement detailing any services which the virtual asset trading platform will be providing which are not considered virtual asset services.	
76.	Listing and Delisting Procedures – outline of the criteria, processes, and requirements for listing and delisting financial	



VASP Licensing and Waiver Checklist

	instruments or assets on the platform, ensuring transparency and regulatory compliance in the management of listed entities.	
77.	Letter of Intent to Provide Services to Persons in the Islands - A statement setting out whether persons in the Cayman Islands will be able to buy, sell, trade, exchange or list virtual assets on the trading platform.	
78.	Proprietary Trading Policy <ul style="list-style-type: none"> - Provide confirmation of, and reason for, any trading activity which will be conducted by the applicant on its own account using virtual assets which will be listed, traded or exchanged on the trading platform. - Outline of the guidelines and controls for the Applicant's trading activities using its own capital, defining risk limits, compliance requirements, and procedures to safeguard against excessive risk-taking and to safeguard customers. 	
79.	Trading Monitoring Policy - procedures for monitoring trading activities to detect market abuse, unusual or potentially suspicious behavior, ensuring compliance with regulatory requirements and maintaining market integrity	
80.	Segregation of Funds - Provide details on how the Applicant will ensure that client virtual assets and fiat are kept separate from the company's proprietary virtual assets and fiat.	
81.	Client Deposits and Withdrawals Policy - Copy of the Applicant's procedure and strategy for accepting and processing client deposits and withdrawals, ensuring compliance with legal, regulatory, and operational standards.	
82.	Clearing and Settlement Policy -detailing the Applicant's processes related to the clearing and settlement to be utilized by the trading platform in order to perfect trades and exchanges, with appropriate risk management as relevant.	
83.	Proof of Professional Knowledge and Experience in Trading Platforms : Evidence to support the availability to the applicant, at all times adequate professional knowledge and experience, this includes but is not limited to CVs/Resumes of Key Personnel: <ul style="list-style-type: none"> c) Individuals with adequate professional knowledge and experience operating a virtual asset trading platform. These should include their professional qualifications, relevant experience in the provision of virtual asset trading services, and any certifications or training that demonstrate relevant expertise. d) At least two directors actively engaged in the business of the applicant, one of whom shall possess a sound professional knowledge of and experience in, operating a virtual asset trading platform. Provide notarized proof of educational certificates or documents.	



VASP Licensing and Waiver Checklist

PART D: OTHER INFORMATION

- a) For entities in a group or multi-jurisdictional entity, please indicate using the table below whether there is any regulatory oversight in any other jurisdiction providing details of the regulator, the regulated activities and if any regulatory or legal actions, penalties, or other concerns have been raised.

Please complete the table below for all the entities in the group:

TABLE 1

ENTITY	REGULATOR	REGULATED ACTIVITY	REGULATORY or LEGAL ACTIONS/ PENALTIES /CONCERNS

- b) For the Shareholders, Ultimate Beneficial Owners, Beneficial Owners, Directors and Senior Officers please indicate if any regulatory or legal actions, penalties, or other concerns have been raised. Please complete the table below where applicable:

TABLE 2

NAME	POSITION	REGULATORY PENALTIES/LEGAL ACTIONS/CONCERNS	JURISDICTION	REGULATORY/LEGAL BODY



VASP Licensing and Waiver Checklist

c) Kindly indicate (in the format below) the location and office address for the following activities, where applicable:

TABLE 3

Activities	Address/Location	Regulator (if unregulated please state)
<ul style="list-style-type: none"> Location of the Head Office 		
<ul style="list-style-type: none"> Location of key personnel including directors, compliance officers, senior officers. 		
<ul style="list-style-type: none"> If applicable, where virtual asset exchange services will be offered from 		
<ul style="list-style-type: none"> Technology and IT infrastructure locations including critical hardware and software that supports key lifecycle management (including key generation and custody), wallet management, transaction processing, data storage, day to day business operations (finance, accounting, compliance etc.) 		
<ul style="list-style-type: none"> Record keeping where these occur separate from technology locations above (e.g. accounting records) 		
<ul style="list-style-type: none"> Location of AML/CFT/CPF/ Sanctions compliance function including: <ul style="list-style-type: none"> Onboarding i.e. KYC/CDD Screening Transaction Monitoring 		
<ul style="list-style-type: none"> Marketing and advertising 		
<ul style="list-style-type: none"> Transaction Processing 		
<ul style="list-style-type: none"> Operational and compliance support services such as HR, finance, legal, accounting, risk management, product development or treasury management. 		
<ul style="list-style-type: none"> Any (other) third-party arrangements/ outsourced service providers (including log of all outsourced functions). 		



VASP Licensing and Waiver Checklist

To be completed by the Applicant.

PART E: DECLARATION

To be signed and submitted by two senior officers of the Applicant.

I, _____,

(full name and address and position)

I, _____,

(full name and address and position)

declare that we are duly authorised to make this application. We confirm that we have read and understood the provisions of the *Virtual Asset (Service Providers) Act (2024 Revision)* and the regulations made under it and the *Anti-Money Laundering Regulations (2025 Revision)* and we declare that the business in respect of which this application is made will be conducted in accordance with the law. We declare the information provided in the application to be accurate in all material aspects to the best of our knowledge and belief.

Signatures: _____

Date: _____



VASP Licensing and Waiver Checklist

PART F. Appendix 1

Supporting Documents and FAQs:

Refer to the links below for links to the documents referenced above as well as guidance and general information regarding the VASP application process and requirements.

- [Regulatory Policy - Registration or Licensing of Virtual Asset Service Providers](#)
- [Regulatory Policy- Assessing Fitness and Propriety](#)
- Statement of Guidance - [Nature, Accessibility and Retention of Records Statement of Guidance](#)
- Statement of Guidance - [Outsourcing \(for regulated entities\)](#)
- Statement of Guidance - [Cybersecurity for Regulated Entities](#)
- Statement of Guidance - [Personal Questionnaire](#)
- [Source of Wealth Form](#)
- Other Regulatory Measures: <https://www.cima.ky/virtual-asset-service-providers-regulatory-measures>
- General VASP FAQs: <https://www.cima.ky/vasp-faq>